# *Special Interests, Multi-Appropriation and Information Technology and National Security Systems*

## *Appendix H*

### *Introduction*

This appendix contains preparation instructions and exhibit formats for use by submitting offices in preparing DON unique budget submission materials for those exhibits that cross titles required by DON.  Exhibits are to be prepared in accordance with guidance contained in Parts II, III and IV of this manual.

Questions concerning these exhibits may be referred to FMB-325, telephone(703) 695-5841.

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## *Appendix H*

Special Interest, Multi-Appropriation and Other

## *Instructions For Preparation Of Exhibit NC-2*

### *Audit Finding and Recommendations*

<u>Purpose</u>:  To identify potential cost savings reflected in audit reports for

possible incorporation into budget estimates.

<u>Submission</u>:  Exhibit NC-2 is required for the DON, OSD/OMB, and President's Budget submissions.

<u>Instructions</u>:

1. This exhibit will reflect savings already incorporated in the budget estimates and those potential savings which have not yet been implemented.

2. List each audit by number and title.  The affected appropriation, fiscal years, and command position on the savings should be provided.

3. Cost savings not previously identified in published audit reports of the General Accounting Office, Department of Defense Inspector General and Naval Audit Service should be included.

| DEPARTMENT OF THE NAVY<br>AUDIT FINDINGS/RECOMMENDATIONS<br>EXHIBIT NC-2<br>Appropriation:_____ | | | | |
|---|---|---|---|---|
| Audit Number/Title | Item/Savings (Narrative) | Command Position | Incorporated in Savings ($000) | Estimates (Yes/No) |
| GAO-LCD-81-3 (7123)<br>Navy Electronic<br>Depot Maintenance<br>Capability | | | | |
| DODIG 87-123<br><br>Processing invoices<br>to take advantage of discounts | | | | |
| NAVAUDSVC A41211<br>NAS Bermuda | #13/Mechanizing the existing manual payroll  function would improve  efficiency and could result in overall savings | Concur. Implementation requires installation of IBM 360/30 late 19PY-1. | FY-PY O&M $17<br>FY-CY O&M $65<br> Outyears $65 each year | Funds reapplied to: Provide AG/SAG and Amounts as appro-priated |
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## *Instructions for Preparation of Exhibit NC-10*

### *Naval Shipyard Workload Summary*

Purpose:  To identify the amount of direct mandays, direct labor dollars, and direct material costs associated with work that will be accomplished by the Naval Shipyards.

Submission:  Exhibit NC-10 is required for the DON, OSD/OMB, and President's Budget Submissions.

Instructions:  Exhibit NC-10 must be submitted by all claimants that budget for work to be accomplished by Naval Shipyards.  Values should only reflect fully funded workload, that is, scheduled but not funded workload shall not be included in the manday and dollar amounts.  A separate page shall be completed by each claimant for each appropriation, and for the Prior Year (PY), Current Year (CY), and each of the budget years (BY1 and BY2).  Total direct dollars should match the dollars budgeted for Naval Shipyard efforts as reflected in the Exhibits OP-32, P-32, and R-32.

| DEPARTMENT OF THE NAVY NAVAL SHIPYARD WORKLOAD SUMMARY EXHIBIT NC-10 | | | | |
|---|---|---|---|---|
| CLAIMANT:_____ APPROPRIATION:_____ FISCAL YEAR: _____ | | | | FY___/___ DATE:____ |
| | Direct Mandays | Direct Labor $000 | Direct Material $000 | Total Direct $000 |
| **NORFOLK NAVAL SHIPYARD** | | | | |
| SHIPBUILDING AND CONVERSION | | | | |
| INACTIVATIONS | | | | |
| ALTERATIONS | | | | |
| OVERHAULS | | | | |
| SCHEDULED RATA | | | | |
| EMERGENT REPAIR | | | | |
| UNSCHEDULED RATA | | | | |
| REFIT AND RESTORATION | | | | |
| OTHER PRODUCTIVE WORK | | | | |
| | | | | |
| | | | | |

| DEPARTMENT OF THE NAVY NAVAL SHIPYARD WORKLOAD SUMMARY EXHIBIT NC-10 | | | | |
|---|---|---|---|---|
| CLAIMANT:_____ APPROPRIATION:_____ FISCAL YEAR: _____ | | | | FY__/__ DATE:____ |
| | Direct Mandays | Direct Labor $000 | Direct Material $000 | Total Direct $000 |
| **PORTSMOUTH NAVAL SHIPYARD** | | | | |
| SHIPBUILDING AND CONVERSION | | | | |
| INACTIVATIONS | | | | |
| ALTERATIONS | | | | |
| OVERHAULS | | | | |
| SCHEDULED RATA | | | | |
| EMERGENT REPAIR | | | | |
| UNSCHEDULED RATA | | | | |
| REFIT AND RESTORATION | | | | |
| OTHER PRODUCTIVE WORK | | | | |
| OTHER SHIPWORK | | | | |
| | | | | |
| | | | | |

| DEPARTMENT OF THE NAVY<br>NAVAL SHIPYARD WORKLOAD SUMMARY<br>EXHIBIT NC-10 | | | | |
|---|---|---|---|---|
| CLAIMANT:_____<br>APPROPRIATION:_____<br> FISCAL YEAR: _____ | | | | FY__/__<br>DATE:____ |
| | Direct<br>Mandays | Direct<br>Labor<br>$000 | Direct<br>Material<br>$000 | Total<br>Direct<br>$000 |
| **PUGET SOUND NAVAL SHIPYARD** | | | | |
| SHIPBUILDING AND CONVERSION | | | | |
| INACTIVATIONS | | | | |
| ALTERATIONS | | | | |
| OVERHAULS | | | | |
| SCHEDULED RATA | | | | |
| EMERGENT REPAIR | | | | |
| UNSCHEDULED RATA | | | | |
| REFIT AND RESTORATION | | | | |
| OTHER PRODUCTIVE WORK | | | | |
| OTHER SHIPWORK | | | | |
| | | | | |
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| DEPARTMENT OF THE NAVY<br>NAVAL SHIPYARD WORKLOAD SUMMARY<br>EXHIBIT NC-10 | | | | |
|---|---|---|---|---|
| CLAIMANT:_____<br>APPROPRIATION:_____<br> FISCAL YEAR: _____ | | | | FY__/__<br>DATE:____ |
| | Direct<br>Mandays | Direct<br>Labor<br>$000 | Direct<br>Material<br>$000 | Total<br>Direct<br>$000 |
| **PEARL HARBOR NAVAL SHIPYARD AND**<br>**INTERMEDIATE MAINTENANCE FACILITY** | | | | |
| SHIPBUILDING AND CONVERSION | | | | |
| INACTIVATIONS | | | | |
| ALTERATIONS | | | | |
| OVERHAULS | | | | |
| SCHEDULED RATA | | | | |
| EMERGENT REPAIR | | | | |
| UNSCHEDULED RATA | | | | |
| REFIT AND RESTORATION | | | | |
| OTHER PRODUCTIVE WORK | | | | |
| OTHER SHIPWORK | | | | |

| NOTES: |
|---|
| **OVERHAULS INCLUDE**: |
| OH(R)    - Regular Overhaul |
| OH(RF)   - Nuclear Refueling Overhaul (Submarines) |
| OH(RC)   - Nuclear Refueling Complex Overhaul (Surface Ships) |
| OH(C)    - Complex Overhaul |
| DMP     - Depot Modernization Period (SSN-688 Class) |
| **UNCHEDULED RATA INCLUDES**: |
| SRA      - Selected Restricted Availability |
| SRA(E)   - Extended SRA |
| SRA(D)   - Docking SRA |
| SRA(ED)  - Extended DSRA |
| PMA      - Phased Maintenance Availability |
| PMA(D)   - Docking PMA |
| PIA      - Planned Incremental Availability (Nuclear Carriers) |
| PIA(D)   - Docking PIA (Nuclear Carriers) |
| FDD      - Floating Dry Dock Overhauls |
| **UNSCHEDULED RATA INCLUDES:** |
| Interim Drydocking |
| Habitability |
| Submarine Battery Renewals |
| Other Planned RA/TA |
| Deep Submergence Vehicles |
| Post Shakedown Availabilities |
| Service Craft Availabilities |
| **OTHER PRODUCTIVE WORK INCLUDES:** |

| |
|---|
| Base Operations Support |
| Family Housing |
| Tenant Support |
| **<u>OTHER SHIPWORK INCLUDES</u>:** |
| Components (SPCC) |
| Design Yard |

## *Instructions for Preparation of Exhibit NC50S*

### *Mandays at Naval Shipyards for Fleet Modernization Program*

<u>Purpose</u>:  To identify mandays to be performed at Naval Shipyards for the Fleet Modernization Program.

<u>Submission</u>:  Exhibit NC-50S is required for the DON, OSD/OMB and President's Budget Submissions.

<u>Instructions</u>:  Exhibit NC-50S must be submitted by  O&MN, APN, OPN and WPN appropriations.

| DEPARTMENT OF THE NAVY<br>NC-50 SUPPLEMENTAL EXHIBIT<br>MANDAYS TO BE PERFORMED AT NAVAL SHIPYARDS FOR FLEET MODERNIZATION PROGRAM | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Shipyard | Fiscal Year | Type Avail | Hull | Number | NC-50 Mandays (1) | Shipyard Mandays (2) | NSY MDR (3) | NC-50 Labor $ (4) | Shipyard Labor $ (5) |
| EX: NORVA | 1996 | DSRA | CG | 47 | 10,750 | 9,200 | $525.50 | $5,649 | $4,835 |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

| NOTES: |
|---|
| 1/ NC-50 Mandays is the NUMBER of mandays which appears on the NC-50 for a respective hull. |
| 2/ Shipyard mandays is the number of mandays which are actually worked in the shipyard. |
| 3/ Naval shipyard alteration manday rate |
| 4/ The dollar amount shown on the NC-50 belongs in this column. |
| 5/ Shipyard mandays multiplied by shipyard alteration manday rate. |

# *Instructions for Preparation of Exhibit OM-6*

## *Unfunded Requirements*

Purpose:  To identify urgent requirements which cannot be accommodated within funding constraints.

Submission:  Exhibit OM-6 may be submitted for the DON submission only.

Instructions:

Current Year Unfunded Requirements - All CNO/CMC directed programs will be included in the funded envelope of the annual budget.  Every effort should be made to present a balanced program within available resources.  However, if responsive management and reprogramming actions between functional areas cannot accommodate requirements within funding constraints, current year unfunded requirements may be submitted for DON review in the format of Exhibit OM-6.

Budget Year Unfunded Requirements - Critical deficiencies which cannot be absorbed within controls, due to pricing and fact-of-life adjustments, may be submitted as Unfunded Requirements in the format of Exhibit OM-6.  Deficiencies should be in support of the approved POM program.  However, newly emergent requirements may also be identified.  Deficiencies must be submitted in accordance with the annual budget submission schedule.

One page is required for each underfunded requirement.  When calculating the funding requirement, be sure to include the correct amount for escalation.

Major claimants may nominate offsets/tradeoffs from within their resources that can be used to fund the deficiency.  Items which have viable offsets are more likely to be funded than items with no offsets.

The format for Unfunded Requirements is largely self explanatory.  However, the following additional explanation is provided for selected portions of the exhibit.

FY 20__ Unfunded Requirement.  In the blank space, write the fiscal year for which funding is    being requested.

Sponsor.  Write the name of the sponsor who has reviewed and who supports this particular unfunded requirement.

Claimant Priority.  Each priority number should be assigned to only one discrete unfunded requirement per appropriation.

Profile of Available Funding.  Show current funding profile for the funded portion of the requirement.

Requirements - OP-32 Object Class Code.  Break the deficiency into "OP-32 Object Class code" and "Other" as follows:

1xx xx.xx xx Civilian Personnel Compensation

3xx xx.xx xx Travel

Use only those Object Class codes from above which are applicable.  All funding which does not come under those Object Class codes is shown as "Other."

Total.  Show the funding total for each of the "Required", "Budgeted" and "Deficiency" columns.  The total for "Budgeted" must equal the amount for the same year shown above in the Profile of Available Funding.

Civilian WY/ES and Military Off/Enl.  Delete if not required.

Narrative Description of Requirement.  For each AG/SAG, briefly describe what the funding is required for, how it will be executed and the impact of not providing the funding.  Support the requirement with facts and numerical quantification (such as flying hours or ship OPTEMPO).

<table>
<tr><td colspan="6"><strong>DEPARTMENT OF THE NAVY<br>DETAIL OF FY__ UNFUNDED REQUIREMENT</strong><br><br><strong>EXHIBIT OM-6</strong></td></tr>
<tr><td colspan="6">Claimant:_____<br>Preparers name and extension_____<br>Sponsor:  (Name/Code/Extension _____<br>Appropriation/Budget Activity<br>Activity Group/Sub-Activity Group(s) ((AG/SAG and Title)<br>Unfunded Requirement Title:<br>Claimant Priority:</td></tr>
<tr><td colspan="6" align="center">Profile of Available Funding (In Thousands of Dollars)</td></tr>
<tr><td></td><td>FY PY-1</td><td>FY PR</td><td>FY CY</td><td>FY BY1</td><td>FY BY2</td></tr>
<tr><td></td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td><strong>Table of Requirement*s</strong></td><td></td><td></td><td><strong>Required</strong></td><td><strong>Budgeted</strong></td><td><strong>Deficiency</strong></td></tr>
<tr><td>Funding (In thousands of Dollars)</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>AG/SAG _____</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>OP-32 Object Class Code/Title</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>Total</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>Civilian WY/ES</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>Military Off/Enl</td><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td colspan="6"><strong>Narrative Description of Requirement</strong></td></tr>
</table>

\* Provide this information (Funding, Civilian WY/ES, Military Off/Enl) for each AG/SAG which has a portion of the unfunded requirement.  If the unfunded requirement is entirely within one AG/SAG, then delete the "AG/SAG _____" line.

# *Information Technology (IT) and National Security Systems (NSS)*

**Overview**. This section provides exhibit formats and definitions for preparation and submission of special interest IT and NSS budget exhibits by Budget Submitting Offices (BSOs) to FMB and the Office of the Department of the Navy Chief Information Officer (DON CIO); and by the DON to higher authority. The DON standard IT and NSS budget reporting system, provides IT and NSS budget exhibit definitions and exhibit formats in the online Help area.

**a. Naval IT and NSS Exhibits/Standard Reporting-Program/Budget (NITE/STAR-Pro) System**. The NITE/STAR system, which is administered by DON CIO, is the standard DON budget reporting system used by BSOs to electronically capture, maintain, and report IT/NSS budget estimates to DON CIO and by DON CIO to report to the Office of the Secretary of Defense (OSD). NITE/STAR's online Help area includes detailed instructions on its use; IT and NSS budget-related definitions; and budget exhibit formats and procedures. NITE/STAR system software is available as follows:

 (1) Complete Installation.  A complete NITE/STAR v5 installation package for installing v5 at a new site is available from the following link by performing these steps:
Click on following link:   http://www.doncio.navy.mil/downloads/nitestar5install.exe
Select "Save this program to disk"
Save "nitestar5install.exe" to a temporary folder/directory on any local or network drive
Double click on the file, "nitestar5install.exe"
The NITE/STAR v5 install kit will self-extract and install contents
follow prompts; please read "read me"
initial user password = "password"

(2) Upgrade.  A NITE/STAR v5 Upgrade kit is available to NITE/STAR v5.02 (or later) users at the following link whose latest version automatic download feature (available via Utilities, Download Current Version, option) is not working (Note: Users of v5.00 and v5.01 must re-install v5 in its entirety by following above v5 installation procedure):

To upgrade to current v5 (from an existing v5.02 or later), click on following link:
     http://www.doncio.navy.mil/downloads/nitestar5update.exe
Select "Save this program to disk"
Save "nitestar5update.exe" to a temporary folder on any local or network drive
Quit NITE/STAR if open. Double click on the file, "nitestar5update.exe"
The NITE/STAR v5 upgrade kit will self-extract and install contents.


**b. IT and NSS Budget Exhibit Formats**. The following exhibits are required to be submitted electronically for the IT and NSS budget exhibit submission. Formats and instructions for all IT and NSS budget exhibits are contained in the NITE/STAR online Help area and from the DON CIO homepage at this  link:
http://www.don-imit.navy.mil/default.asp?Article=09072000VPB3405538   Current exhibit requirements are discussed in DON CIO supplemental guidance issued via email to IT/NSS points of contact approximately one month before the budget due date.

> (1)  Exhibit IT Executive Summary (ES)

> (2)  Exhibit 300b, Capital Asset Plan and Justification

(3) NC-33, Justification of Increases and Decreases

(4) NC-36, Worksheet (i.e., NITE/STAR database export)

(5) NC-37, BSO Appropriation Summary (i.e., NITE/STAR summary report sorted on appropriation)

(6) In addition, submission requirements include electronic copies of the following exhibits:

   (a) BSOs' procurement appropriation exhibits reflecting IT investments

   (b) IT portion of BSOs' Navy Working Capital Fund (NWCF) Capital Purchase Program (CPP) exhibits

c.  **IT and NSS Definitions.**

(1) IT is defined in the Clinger-Cohen Act (a.k.a. Information Technology Reform Act (ITMRA)) of 1996 as:

   (a) Any equipment or interconnected system or subsystem of equipment, that is used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information by the executive agency.  For purposes of the preceding sentence, equipment is used by the executive agency directly or is used by a contractor under a contract with the executive agency which requires the use of such equipment, or requires the use, to a significant extent, of such equipment in the performance of a service or the furnishing of a product.

   (b) Includes computers, ancillary equipment, software, firmware and similar procedures, services (including support services), and related resources.

   (c) Notwithstanding subparagraphs (a) and (b), the term "information technology" does not include any equipment that is acquired by a Federal contractor incidental to a Federal contract.

(2) NSS is defined in the Clinger-Cohen Act (a.k.a. Information Technology Reform Act (ITMRA)) of 1996 as:

   (a) any telecommunications or information system operated by the United States Government, the function, operation, or use of which—

      1. involves intelligence activities;

      2.  involves cryptologic activities related to national security;

      3.  involves command and control of military forces;

      4. involves equipment that is an integral part of a weapon or weapons system; or

      5.  subject to subsection (b), is critical to the direct fulfillment of military or intelligence missions.

   (b) Limitation - Subsection (a)(5) does not include a system that is to be used for routine administrative and business applications (including payroll, finance, logistics, and personnel management applications).

d. **Scope of IT and NSS Reporting**. The IT and NSS Budget Exhibit is comprised of two separate budget exhibits, IT and NSS, that have been combined for reporting purposes. It is important to note that the scopes of reporting for IT and NSS differ significantly, as follows:

   (1) Scope of IT Budget Reporting. The scope of IT budget reporting covers all IT resources as defined in the Clinger-Cohen Act (Information Technology Reform Act (ITMRA) of 1996) with the exception of (1) IT resources that are an integral part of, i.e., embedded in, a weapons systems and (2) classified IT resources. IT resources dedicated to or essential in real-time to the mission performance of a weapons system, including radar, sonar, and radio (previously excluded from IT budget reporting) fall within the scope of IT and NSS budget reporting.

   (2)  Scope of NSS Budget Reporting. The scope of NSS budget reporting covers all NSS resources as defined in the Clinger-Cohen Act (Information Technology Reform Act (ITMRA) of 1996) with the exception of classified NSS resources. The scope of NSS budget reporting includes the full scope (i.e., inclusive of the Command, Control and Communications (C3) "platform", including IT, not just the IT portion) of resources reported in the former C3 Congressional Justification Book (CJB), which has been replaced by the "IT and NSS Budget Exhibit". NSS resources such as "tactical" C2 and C3 systems, and radar, sonar, and radio systems (previously excluded from IT budget reporting) now fall within the scope of NSS budget reporting.

e. **IT and NSS Budget Exhibit Definitions**. IT and NSS budget exhibits are prepared based on several building blocks. Definitions of these building blocks are as follows:

   (1) Automated Information System (AIS), project or initiative. Any IT resource (as defined by the Clinger-Cohen Act above) managed under SECNAVINST 5000.2B, "Implementation of Mandatory Procedures for Major and Non-Major Defense Acquisition Programs and Major and Non-Major Information Technology Acquisition Programs". IT resources include command and control IT resources categorized as Acquisition Category (ACAT) II programs (i.e., weapons systems).  BSOs should refer to SECNAVINST 5000.2B,  for DON policy on acquiring IT resources. All IT resources, whether AIS, project or initiative, are identified by a unique code, acronym and title which can be found in the Naval IT Exhibits/Standard Reporting System-Program/Budget (NITE/STAR-Pro) system. When funds for a particular AIS, project or initiative are budgeted by more than one BSO, each BSO reports its portion of budgeted resources for the AIS, regardless of the amount.  A current list of AISs, projects and initiatives is provided in a pull-down menu in the NC-36 Worksheet File area of NITE/STAR.

   (2) Major AIS, project or initiative. An AIS that has anticipated program (i.e., development and modernization (DEV/MOD)) costs, computed in FY 1996 constant dollars, in excess of $120 million; or that has estimated program costs, computed in FY 1996 constant dollars, in excess of $30 million in any reportable fiscal year (i.e., Prior Year, Current Year, Budget Year One, or Budget Year Two (if second year of biennial cycle); or that has estimated total life-cycle costs (total DEV/MOD and operations costs), computed in FY 1996 constant dollars, in excess of $360 million; or that is designated "special interest" by OSD.

   (3)Non-Major AIS. An AIS that is not designated as a Major and has total costs of $2 million or more in any reportable fiscal year.

   (4)Aggregate Support System. All IT resources not associated with either a major or non-major AIS, project or initiative are reported under an "aggregate support system". An aggregate support system is a collection of AISs, projects or initiatives that fall below the $2 million non-major AIS threshold and all non-system or computing and communications infrastructure resources, e.g., activity-based costs such as

voice, data, communications, infrastructure (computing and communications resources), IT organizations, and IT personnel (personnel who perform IT functions 51% or more of their time).

(5)Functional Area (FA). Each AIS, project or initiative is categorized according to the Functional Area (FA) that it supports. If a major or non-major AIS supports more than one FA on an integrated basis, BSOs are to report the AIS under the primary FA supported as determined by the program manager. Aggregate support system resources will be categorized according to the FA each component therein supports. The FA for major or non-major AISs that are used by multiple BSOs is determined by the program manager. The list of FA codes is provided under "FA" in the "Update Tables", Utilities" area of NITE/STAR.

(6)Development/Modernization (DEV/MOD) and Current Services (aka Operations) OSD revised the definitions of DEV/MOD and Current Services to coincide with the investment and expense definitions, respectively. Adherence to the OSD policy memo of 26 October 1999 on "Clarification of Policy on Budgeting for IT and Automated Information Systems (AISs)" and the expense/investment criteria will result in expense appropriations (i.e., Military Pay, O&M, Navy Working Capital Fund (NWCF)-Cost, and Family Housing) being reported as CS and investment appropriations (i.e., RDT&E, Procurement, Military Construction, and NWCF-Capital) being reported as DEV/MOD.

(7) Line Item. IT resources reported are categorized by "line item" or cost element (e.g., hardware, software, communications). Line item definitions are provided in the NITE/STAR online Help area under "Definitions".

**f. BSO responsible for submitting IT and NSS budget exhibits**. IT and NSS budget exhibits are to be submitted by the BSOs below:
- Commander, Naval Reserve Force (COMNAVRESFOR)
- Chief of Naval Education and Training (CNET)
- Chief of Naval Operations (N09B)
- Assistant for Administration, Office of the Under Secretary of the Navy (AAUSN)
- Commander-in-Chief, U.S. Atlantic Fleet (CINCLANTFLT)
- Commander-in-Chief, U.S. Pacific Fleet (CINCPACFLT)
- Commander-in-Chief, U.S. Naval Forces, Europe (CINCUSNAVEUR)
- Bureau of Naval Personnel (BUPERS)
- Office of Chief of Naval Research (OCNR)
- Naval Security Group Command Headquarters (SECGRU)
- Naval Computer and Telecommunications Command (NAVCOMTELCOM)
- Military Sealift Command (MSC)
- Headquarters, Marine Corps (HQMC)
- Commander, Naval Air Systems Command (NAVAIR)
- Commander, Naval Sea Systems Command (NAVSEA)
- Commander, Naval Facilities Engineering Command (NAVFAC)
- Commander, Naval Supply Systems Command (NAVSUP)
- Commander, Space and Naval Warfare Systems Command (SPAWAR)
- Director, Strategic Systems Programs (SSP)
- Bureau of Medicine and Surgery (BUMED)
- Director, Office of Naval Intelligence (DONI)
- Commander, Naval Meteorology and Oceanographic Command (COMMETOCCOM)
- Commander, Naval Systems Management Activities (COMNAVSYSMGTACT)


**g. Role of DON CIO**. DON CIO will review and consolidate BSO input for submission in accordance with the OSD Financial Management Regulation (FMR), Volume 2B, Chapter 18, Information Technology and National Security System, and supplementary OSD budget memoranda.  All materials will be coordinated with and approved for submission by FMB via the Justification Management System (JMS).

# *Program Briefings to Congressional Staff Members*

Purpose:  The Program Briefing materials serve two purposes: First, they are a visual aide for the briefer to cover the material necessary to update the Professional Staff Member (PSM) on a program.  Second, they serve as a reference document for the PSM during the Hearing, Markup and Conference phase of the budget review process.  As reference documents, their utility lies in their ability to provide the PSM easy access to required information.  From the PSM's point of view, if he/she needs information on the program's schedule, obligation rate, etc., it is more efficient if he/she knows where the relevant information can be found, rather than having to search for it in more detailed budget justification material.  Presentation format standardization across multiple programs is paramount.  Deviation from the prescribed format is not acceptable.

Submission:  Exhibit CB is required for the President's Budget submission.

General:  The standard briefing format of Exhibit CB is directed to all the BSOs who have major systems acquisition programs funded in the investment and development appropriations.  This format is designed to provide the PSMs with key information they will need to prepare themselves and their members for hearings, and to use as reference during the subsequent budget markup.  Strict adherence to the exhibit format is critical.  TIARA programs may use the condensed format of Exhibit CB in accordance with the PSM preference.  Doing so will allow Navy to be more effective in communicating its requirements to the Congress, and also will help minimize the need for numerous follow-up requests for additional information.

FMB will issue supplemental guidance covering submission requirements as necessary.  At a minimum, specific programs requiring briefings to Congressional staff members will be published in budget guidance memoranda.  The development of this program list will involve the joint efforts of FMB staff, OLA, ASNs and MC(FD) to ensure the required topic areas are identified at least eight weeks prior to the submission of the President's Budget.  The briefings will be due at least two weeks prior to the submission of the other budget exhibits for the President's Budget submission.

BSOs involved in *major systems acquisitions* should anticipate that there will be required briefs for all major acquisition programs involving procurement of ships, aircraft and weapons.  Anticipation of such briefs prior to formal notification through budget guidance memoranda each year and early preparation for their development will serve to ensure timely submission and approval.

Submission Requirements:

(1) Submissions must reflect all changes resulting from the Program Objectives Memorandum (POM), the DON Budget Review, Program Budget Decisions (PBDs) and any other action affecting estimates.

(2) All budget material must be submitted electronically to the Congressional Information Management System (CIMS).   Due dates will be promulgated via Budget Guidance Memoranda.

(3) FMB2 and DONPIC, will coordinate the comments from the Navy and Marine Corps Secretariat offices, Resource Sponsors, FMBE and OLA.  Any changes will be returned to the BSO for incorporation into the final brief.

(4) Detailed formats and instructions for this exhibit are at the NHBS on-line web site.

# *Instructions for Preparation of Exhibit CB*

Slide #1 is the "Cover Page" and should contain: a) Program Name, b) Appropriation(s), R1/P1 number, Program Element number, c) Who is being briefed, d) Date of the briefing, and e) Who is providing the briefing and their organization.  For items c, d, and e, if specifics are unknown at the time of submission, insert "TBD."  Once approved by FMB, and prior to the actual brief presentation, data can be updated appropriately by the BSO.

  Slide #2 is the "Briefing Outline":  It is important that the briefing follow the order as well as content for the slides.  If for some reason one of the ten topics (Program/System Description, Requirement, Total Program Cost, Planning Schedule, Budget Track, Budget Request, Detailed Funding Breakout, Execution Performance, Congressional Issues and Miscellaneous) does not apply to a program, place N/A next to it.

Slide #3 is the "Program/System Description". Provide an explanation/bullets on the major aspects of the program.

Slide #4 is the "Requirement". This slide should provide the military requirements that the program will fulfill.  If there is a specific, approved document that applies, such as Mission Needs Statement or Operational Requirements Document, specifically identify that document.

Slide #5 is the Total Program Cost.  Provide a breakout of the PY, CY, BY, and BY through BY+5 costs.

Slide #6 is the "Planning Schedule".  Provide a schedule with the program's key events (including testing and development milestones) in PERT chart format.  If it is necessary to include information earlier than FY PY-1 for the schedule to make sense, include it.  However, information must be consistent with that reflected in the President's Budget exhibits.

Slide #7 is the "Budget Track".  This slide is intended to provide a summary of legislative action affecting the program during the FY CY budget cycle.  If there are a number of lines or PE numbers that have to be accommodated, it may be necessary to move the information about specific committee language to subsequent slides.  Include a track of adjustments by each Congressional committee (which should include Congressional undistributed across-the-board reductions), description of FY CY Congressional adds/status, and program changes from the last President's Request to the current request.

Slide #8 is the "Budget Request".  This slide should provide the funding through the FYDP submitted with the FY PY and FY CY budgets.  Include explanatory notes as necessary.  POM or other internal material are not to be discussed.

Slide #9 is the "Detailed Funding Breakout".  Provide the appropriation and associated funding levels (PY-1 to BY) for each element of the program.

Slide #10 is the "Execution Performance".  Provide the Obligations and Expenditures and specify the "as of" date and appropriation.

Slide #11 is the **"**Congressional Issues".  Provide information on final FYCY Congressional action and any issues with Congress even if you think the person being briefed is already aware of the information.  If there is a congressional requirement for a report or study, provide the due date and the current status.

Slide #12 and following (if required) are "Miscellaneous".  These slides allow you to add any information that you consider necessary that has not been included in one of the required slides.  If you feel the need to include slides that are pictures, such as a picture of an F/A-18 in flight for an F/A-18 brief, put those slides

in this group.  Other items to address may include: list of contractors and government field activities performing the work, new start information, top management issues/items of interest, program office staffing plan, program changes since the last submission, and signed major contracts with values over $50 million.


Additional Instructions:

1.  Unapproved programs will not be briefed.  POM recommendations not reflected in the President's Budget are internal to DoD and should not be discussed with Congress until they are approved by SECNAV, SECDEF, OMB and reflected in the President's Budget.  Revised acquisition strategies not reflected in the President's Budget should not be discussed with Congress unless they have been approved by CNO/CMC/SECNAV as appropriate.

2.  Unfunded requirements are not to be discussed unless the CNO and CMC have formally submitted an unfunded requirements list to the Congress.  Requirements that were not high enough priority to receive funding within DoD should not be discussed with Congress.  An increase to one program will come at the expense of other programs that DoD determined to be of higher priority.  The exception to this is a direct question asked regarding a specific unfunded requirement.  In such an instance, the only acceptable answer is: "The requirement was not deemed to be a priority within existing fiscal constraints by the Department of the Navy leadership and has therefore not been requested in the BY budget."  Any item not funded in the budget process must be considered only a "lesser priority" requirement.

3.  Internal DoN/DoD actions are not to be discussed.

4.  Issues relating to funding reserves or problems in securing the release of funds on reserve should not be discussed with Congress.

5.  Discussion of DoN marks or DoD PBDs is inappropriate.

6.  Funding realignments proposed but not approved should not be discussed until after forwarded to Congress (example: Ship Cost Adjustment (SCA), OMNIBUS reprogramming).

7.  Ensure funding, schedules and quantities exactly match those reflected in the President's Budget. Exceptions to this would be fact of life changes that have already occurred.  (example: contract awarded late or at a lower cost).

8.  Support the President's Budget.

# *YOUR PROGRAM*

*PICTURE*

*(IF AVAILABLE)*

*Staffer's name*
*Briefing Date*

*RDT&EN -- R-XXX -- PE 12345*

*PANMC --P-YYY*

*YOUR NAME*

*OFFICE SYMBOL*

# Your Program Description

☐ **Highest Priority Long-Term Modernization Program**

    ☐ **Replaces 1970s Era F-14**

☐ **Air Superiority Needed To Allow Freedom Of Maneuver For Land, Sea, And Air Forces**

☐ **Maintains U.S. Lead In Fighter Technology**

☐ **Advanced Integrated Avionics**

☐ **Superior Maneuverability Coupled With Supercruise and Reduced Observability**

☐ **Significantly Increased Reliability, Maintainability, Supportability**

☐ **Significant Air-to-Ground Capability with Two 1,000 lb JDAMs**

# Your Program Requirements

☐ **Navy Anti-Armor Requirement**

   ☐ **Standoff to avoid aircraft attrition**

   ☐ **Kill massed land combat vehicles**

   ☐ **Achieve multiple kills per pass**

   ☐ **Operate during adverse weather, day or night**

☐ **Requirements Documents**

   ☐ **Mission Need Statement:  Improved Wide Area Anti-Armor Capability, TN-2-79, Sep 1979**

   ☐ **Joint Operational Requirements Document: In Coordination**

# Your Program
# Total Projected Program Costs (in then year dollars)

| | FY 2000 & Prior | FY 2001 | FY 2001- FY 2005 | Beyond FYDP | Total |
|---|---|---|---|---|---|
| **R&D** | **$800M** | **$200M** | **$100M** | | **$1100M** |
| DEMVAL Phase | ($200M) | | | | ($200M) |
| EMD Phase | ($600M) | ($200M) | ($100M) | | ($900M) |
| | | | | | |
| **Procurement** | | **$2.0B** | **$13.0B** | **$40.0B** | **$55.0B** |
| | | | | | |
| **Quantity** | | **6** | **56** | **300** | **362** |

# S&T (63/ATD/ACTD)

# (*Your Program*) Detailed Schedule



| KEY EVENTS | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 |
|---|---|---|---|---|---|---|---|---|

- FLIGHT-WEIGHTED ILLUM DEMO COMPLETE
- ACTIVE TRACKING GROUND DEMO COMPLETE
- BEAM CONTROL LAB DEMO
- FLM PERFORMANCE DEMONSTRATION
- PDRR SYSTEM PDR
- **ATP-1**
- LASER MODULE SCALING DEMONSTRATION
- PDRR SYSTEM CDR
- 747-400F DELIVERY FOR MODIFICATION
- INTEG SURVEILLANCE DEMO COMPLETE
- LOCAL LOOP BCS DEMO
- INTEGRATED BCS DEMO COMPLETE
- **ATP-2**
- INTEGRATED (GROUND) TEST COMPLETE
- INTEGRATED (FLIGHT) TEST COMPLETE
- **ABL WEAPON SYSTEM LETHALITY TEST COMPLETE**
- CONTRACT COMPLETE

ATP-1    ATP-2

NOTE: ACTIVITIES DIRECTLY SUPPORT ATP-1 / ATP-2 / MS II EXIT CRITERIA

# Your Program
# FY00 Track of Congressional Action

## Congressional Action FY00

| Appn | PB | HNSC | SASC | Auth Conf | HAC | SAC | Appn Conf |
|---|---|---|---|---|---|---|---|
| RDT&EN | 0.0 | 19.1 | 19.1 | 19.1 | 19.1 | 19.1 | 19.1 |
| PANMC | 131.1 | 152.7 | 152.7 | 152.7 | 152.7 | 156.1 | 152.0 |

## Congressional Language

### RDT&E,N:

- HNSC/SAC--Recommended an increase of $19.1M to accelerate the P3I as recommended by the Chief of Naval Operations
- No language from other committees

### PANMC:

- HNSC--Added $21.6M for 100 additional SFWs
- SASC--Heavy Bomber Study strongly endorsed PGMs. Increased SFW by $21.6
- Auth Conf--Added $21.6M. Provide additional PGM capability.
- Appr Conf--Added $20.9M. Directed AF to buy as many rounds of ammunition or missiles as appropriated funds will allow, but not less than PB unless Congress is notified
- No language from HAC or SAC.

# Your Program
# FY00 Congressional Add:  RDT&E, N

**FY00 Congressional Add:**  $19.1M

**Description:**  Accelerates SFW P3I development

**Status:**  Funding received, P3I development contract awarded 15 Apr 00

**Outyear Funding:**  SFW P3I development program funded in FY01 PB

# Your Program
# FY00 Congressional Add:  PANMC

**FY00 Congressional Add:**  $21.6M

**Description:**  Procure 100 additional SFWs

**Status:**  Funding received, additional 100 SFWs added to contract on 15 Apr 00

**Outyear Funding:**  SFW P3I development program funded in FY01 PB

# Your Program
# Program Changes:  RDT&E, N

| | FY98 | FY99 | FY00 | FY01 | FY02 | FY03 | FY04 | FY05 |
|---|---|---|---|---|---|---|---|---|
| **Funding:** | | | | | | | | |
| FY00 PB | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| FY01 PB | 18.7 | 19.8 | 3.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Delta | 18.7 | 19.8 | 3.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | | | | | | | | |
| **Quantities:** | | | | | | | | |
| FY00 PB | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FY01 PB | 4 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| Delta | 4 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |

## Changes:
☐Technical - None.

☐Schedule - P3I LRIP accelerated to FY 1999.

☐Cost/funding - Navy fully funded program in FY 1999.

☐Other  - None.

# Your Program
# Detailed Funding Breakout: RDT&E, N

| RDT&EN ($M) | FY98 | FY99 | FY00 | FY01 |
|---|---|---|---|---|
| **Target Payload** | **3.9 (Qty)** | **4.8 (Qty)** | **4.8 (Qty)** | **0 (Qty)** |
| GRDCUS | 0.3 | 0.0 | 0.0 | 0 |
| Next Gen Tgt Cntrl System Support | 0.0 | 0.0 | 0.0 | 0 |
| DREEM DEMVAL | 1.3 | 1.4 | 2.5 | 0 |
| SPV/DAP Signal Processor-Digital Autopilot | 0.8 | 0.4 | 0.0 | 0 |
| Target Reliance Technical Support | 0.2 | 0.2 | 0.2 | 0 |
| Follow-On Aerial Targets Study | 0.0 | 0.6 | 0.5 | 0 |
| QF-4 Risk Reduction Study | 0.0 | 0.0 | 0.2 | 0 |
| Enhanced Subscale ECM efforts | 0.0 | 0.0 | 0.0 | 0 |
| **QF-4 Development** | **1.1** | **0.0** | **0.0** | **0** |
| Completed EMD phase of QF-4 Program | 0.9 | 0.0 | 0.0 | 0 |
| Program Office Support | 0.2 | 0.0 | 0.0 | 0 |
| **ECO*** | **0.5** | **0.5** | **0.5** | **0** |
| Alternate Engine Analysis | 0.3 | 0.1 | 0.0 | 0 |
| Flight Test Risk Reduction | 0.1 | 0.1 | 0.0 | 0 |
| Software Patch | 0.0 | 0.1 | 0.2 | 0 |
| Other | 0.1 | 0.2 | 0.3 | 0 |
| **Other Technical Support** | **1.3** | **1.7** | **1.4** | **0** |
| **Total Funding** | **5.5** | **5.3** | **5.3** | **0** |

\* ECO figures for FY98 and out are estimates only

# SFW
# Program Changes:  PANMC

|  | FY98 | FY99 | FY00 | FY01 | FY02 | FY03 | FY04 | FY05 |
|---|---|---|---|---|---|---|---|---|
| Funding: | | | | | | | | |
| FY00 PB | 131.1 | 140.2 | 150.1 | 146.8 | 149.9 | 0.0 | 0.0 | 0.0 |
| FY01 PB | 152.0 | 153.9 | 143.3 | 171.3 | 168.8 | 170.2 | 236.6 | 236.6 |
| Delta | 20.9 | 13.7 | -6.8 | 24.5 | 18.9 | 170.2 | 236.6 | 236.6 |
| | | | | | | | | |
| Quantities: | | | | | | | | |
| FY00 PB | 400 | 466 | 500 | 500 | 500 | 0 | 0 | 0 |
| FY01 PB | 542 | 556 | 362 | 516 | 504 | 502 | 752 | 752 |
| Delta | 142 | 90 | -138 | 16 | 4 | 502 | 752 | 752 |
| | | | | | | | | |

## Changes:

☐ Technical - None.

☐ Schedule - P3I LRIP accelerated to FY 1999.

☐ Cost/funding - FY 98 contract negotiated at lower unit cost than estimated.

☐ Other  - Inventory requirement revised from 10,000 to 20,000.

# Your Program
# Detailed Funding Breakout: PANMC

| Procurement ($M) | FY98 | FY99 | FY00 | FY01 |
|---|---|---|---|---|
| QTY | 100 | 100 | 100 | 100 |
| (Air Force) | (50) | (50) | (50) | (50) |
| (FMS - UK) | (12) | (12) | (12) | (12) |
| **Hardware** | **148.8** | **139.6** | **142.8** | **143.1** |
| CBU-97/B | 147.0 | 137.7 | 140.7 | 140.0 |
| GFE | 1.8 | 1.9 | 2.1 | 3.1 |
| **Production Support** | **1.5** | **0.0** | **4.5** | **0.0** |
| Data/Test | 0.8 | 2.0 | 1.0 | 0.0 |
| Program Office Support | 2.5 | 3.3 | 3.5 | 0.0 |
| ECO/ECP | 3.2 | 2.8 | 4.0 | 0.0 |
| Unknowns | 3.2 | 2.8 | 4.0 | 0.0 |
| **Non-Recurring** | **5.6** | **4.4** | **2.6** | **1.2** |
| Tooling | 5.6 | 4.4 | 2.6 | 1.2 |
| **Aircraft Flight Certification Items** | **4.6** | | | |
| CBU-97/B (T-1) | 3.7 | | | |
| ECO | 0.9 | | | |
| **Total Funding** | **165.4** | **152.0** | **153.9** | **144.3** |

# SFW Executability

| | FY98 | FY99 | FY00 | FY01 | FY02 | FY03 | FY04 |
|---|---|---|---|---|---|---|---|
| RDT&E, N | | | | | | | |
| Required | 131.1 | 140.2 | 150.1 | 146.8 | 149.9 | 0.0 | 0.0 |
| Approved | 152.0 | 153.9 | 143.3 | 171.3 | 168.8 | 170.2 | 236.6 |
| Delta | 20.9 | 13.7 | -6.8 | 24.5 | 18.9 | 170.2 | 236.6 |
| | | | | | | | |
| PANMC | | | | | | | |
| Required | 400.0 | 466.0 | 500.0 | 500.0 | 500.0 | 0.0 | 0.0 |
| Approved | 542.0 | 556.0 | 362.0 | 516.0 | 504.0 | 502.0 | 752.0 |
| Delta | 142.0 | 90.0 | -138.0 | 16.0 | 4.0 | 502.0 | 752.0 |

## Explanation of Disconnects:

☐

# SFW
# Contractors & Government Field Activities

| Contractor | Location | Role |
|---|---|---|
| Prime contractors | | |
| Lockheed | Marietta, GA | Design, final assembly |
| Pratt and Whitney | West Palm Beach, FL | Engine manufacture |
| Major Subcontractors | | |
| Boeing | Seattle, WA | Wing |
| Northrup-Grumman | Baltimore, MD | Radar |
| Government Field Activities | | |
| SPO | Dayton, Ohio | AF Management |
| Depot | San Antonio, TX | Repair |
| Test | Edwards AFB, CA | Test |
| Universities | | |
| None | | |

SAMPLE

# SFW
# New Starts (Not previously approved by Congress)

**☐FY00 and Prior:**

**☐FY01**

SAMPLE

# Your Program
# Top Ten Management Issues

1. Software integration schedule

2. Aggressive test schedule

3. Focal plane array manufacturing quality

4. Engine reliability

5. Engine cost growth

6. JROC approval of revised requirements

7. T&E range time

8. Vibration aberration

9. Wing mate manufacturing problem

10. Contractor manning

# Your Program
# Program Office Staffing Plan

| Program Office Staffing Plan | | | | |
|---|---|---|---|---|
| | FY98 | FY99 | FY00 | FY01 |
| Military | | | | |
| Gov't Civilian | | | | |
| Contractor | | | | |

# Your Program
# Changes Since the FY 2001 Budget Submission

☐ **Requirement**

  ☐ Dropped requirement for independent targeting

☐ **Technical**

  ☐ Added additional radar modes (software)

☐ **Schedule**

  ☐ OT-IIIB slipped from Jan 98 to Aug 98

☐ **Cost**

  ☐ Contract signed at lower cost than budget

# Your Program
# Items of Interest

☐ **FA-18 Weaponization**

☐ **Cancellation of Procurement Funds**

☐ **$493M Plus Up**

☐ **FY97 Funding Withhold.**

# FA-18 Weaponization

- ☐ **Current Program**
  - ☐ **Joint Direct Attack Munition (JDAM)**
    - ⌃ **Baseline FA-18 direct attack weapon--with GPS-Aided Targeting System (GATS) gives <20 ft CEP**

- ☐ **Unfunded Weapons Initiatives**
  - ☐ **JSOW**
  - ☐ **Wind Corrected Munitions Dispenser**
  - ☐ **JASSM**
    - ⌃ **Required for precision standoff capability**

# MAJOR CONTRACTS OF $50M OR MORE

## Contract Data

| | APPN | Contract Type | Contract Target | Contract Ceiling | Most recent Govt EAC | Total Amount Fees & Services |
|---|---|---|---|---|---|---|
| Aircraft EMD | RDTEN | CPFF | $220M | $240M | $234M | $20M |
| Engine EMD | RDTEN | CPFF | $100M | $120M | $120M | $10M |
| Aircraft Proc | APN | FFP | | $1B | $1B | N/A |

## Relation of Contract to Budget

| | FY 1998* | FY 1999 | FY 2000 | FY 2001 |
|---|---|---|---|---|
| Aircraft EMD contract | | | | |
| Amount assumed in budget | | | | |
| Amount actually on contract | | | | |
| Difference | | | | |

*for procurement only

# *YOUR TIARA PROGRAM*

*Staffer's name*
*Briefing Date*

*PICTURE*

*APPROPRIATION 1 -- R1/P1, and PE*

*APPROPRIATION 2 -- R1/P1, and PE*

*APPROPRIATION 3 -- R1/P1, and PE*

*YOUR NAME*

*OFFICE SYMBOL*

# Your Program/System Description

- Highest Priority Long-Term Modernization Program
  - Replaces 1970s Era F-14
- Maintains U.S. Lead In Fighter Technology
- Advanced Integrated Avionics

**Picture of System**

# Your Program Requirements

- **Navy Anti-Armor Requirement**
  - Standoff to avoid aircraft attrition
  - Kill massed land combat vehicles
  - Achieve multiple kills per pass
  - Operate during adverse weather, day or night

- **Requirements Documents**
  - Mission Need Statement:  Improved Wide Area Anti-Armor Capability, TN-2-79, Sep 1979
  - Joint Operational Requirements Document: In Coordination

# Your Program Planning Schedule

| | SHIP CLASS | FY01 | FY02 | FY03 | FY04 | FY05 | FY06 | FY07 | FY08 | FY09 | To Complete |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **OPN** | DD 963 | DD 972 | | | | | | | | | 1 |
| | AEGIS CG 47 | | | CG-72 | CG-73 CG-74 | | CG-56 CG-57 CG-58 | | 2 | 2 | 4 |
| | AMPHIBIOUS LHD | | | | | LHD-12 | LHD-14 | LHD-7 | 0 | 0 | 3 |
| | CV/CVN | CVN-68 | | | | | CVN-89 CV-56 | 0 | 0 | 0 | 3 |
| | | | | | | | | | | | |
| **SCN** | CVN | CVN | | | CVN | | | | | | |
| | AMPHIBIOUS LPD | LPD 16 LPD 18 | LPD 20 LPD 22 | LPD 23 LPD 25 | | | LPD 27 LPD 29 | | | | |
| | **TOTAL SHIPS** | **5** | **2** | **3** | **3** | | **8** | **1** | **2** | **2** | **11** |

4

# Your Program Budget Request: (Separate slide for each appropriation line)

## Appropriation Name, R-1/P-1, and PE

| | FY00 | FY01 | FY02 | FY03 | FY04 | FY05 | FY06 | FY07 |
|---|---|---|---|---|---|---|---|---|
| **Funding** | | | | | | | | |
| PB02 | 15.2 | 19.6 | 18.6 | * | * | * | * | * |
| CT Supp/DERF | N/A | N/A | 5.0 | N/A | N/A | N/A | N/A | N/A |
| PB03 | 15.2 | 19.6 | 18.6 | 3.6 | 7.8 | 5.2 | 4.5 | 2.6 |
| Delta (PB03-PB02) | N/A | N/A | N/A | * | * | * | * | * |
| **Quantities** | | | | | | | | |
| PB02 | 1 | 4 | 3 | 2 | 2 | 2 | 2 | 2 |
| CT Supp/DERF | N/A | N/A | 3 | N/A | N/A | N/A | N/A | N/A |
| PB03 | 1 | 4 | 3 | 2 | 2 | 2 | 2 | 1 |
| Delta (PB03-PB02) | N/A | 0 | 0 | 0 | 0 | 0 | 0 | -1 |

* PB02 data not provided

**Changes:**

- **Technical - None.**
- **Schedule - P3I LRIP accelerated to FY 2000.**
- **Cost/funding - Navy fully funded program in FY 2000.**
- **Other - None.**

5

# Your Program
# Total Projected Program Costs
**(in then year dollars)**

| | FY02 & Prior | FY03 | FY04-07 | Total |
|---|---|---|---|---|
| **R&D** | | | | |
| Name, R-1 and PE | | | | |
| Name, R-1 and PE | | | | |
| | | | | |
| **Procurement** | | | | |
| Name, P-1 and PE | | | | |
| Name, P-1 and PE | | | | |
| | | | | |
| **Total** | | | | |
| | | | | |
| **Quantity** | | | | |

# Your Program Budget Track

## Congressional Action FY02

| Appn | PB | HPSCI | HASC | SASC | Auth Conf | HAC | SAC |
|------|-----|-------|------|------|-----------|------|------|
| RDT&EN | 0.0 | | 19.1 | 19.1 | 19.1 | 19.1 | 19.1 |
| OPN | 10.3 | | 10.3 | 10.3 | 10.3 | 10.3 | 10.3 |

## Congressional Language

### RDT&E,N:

Description of Authorization/Appropriation Mark

### OPN:

Description of Authorization/Appropriation Mark

### CT Supplemental (if applicable):

Description of CT Supplemental/DERF Increase

## Appropriation Name, R-1/P-1, and PE

| Appropriation/ FY | Approp'd ($M) | Released BA ($M) | Obligations ($M) | | | Expenditures ($M) | | |
|---|---|---|---|---|---|---|---|---|
| | | | YTD Actual | EOY Forecast | EOY OSD Std | YTD Actual | EOY Forecast | EOY OSD Std |
| | | | | | | | | |
| FY00 | 63.0 | 63.0 | 55.7 | 63.0 | | 33.3 | 46.0 | 44.7 |
| % | | | 88% | 100% | | 53% | 73% | 71% |
| FY01 | 101.5 | 101.5 | 46.1 | 101.5 | 81.2 | 2.2 | 38.0 | 35.5 |
| % | | | 45% | 100% | 80% | 2% | 37% | 35% |
| FY02 | 101.5 | 101.5 | 46.1 | 101.5 | 81.2 | 2.2 | 38.0 | 35.5 |
| % | | | 45% | 100% | 80% | 2% | 37% | 35% |

**(Provide data for all active years going back 3 years: FY00, FY01, FY02)**

## Explanation:

- **None**

## Appropriation Name, R-1/P-1, and PE

| Appropriation/ FY | Approp'd ($M) | Released BA ($M) | YTD Actual | EOY Forecast | EOY OSD Std | YTD Actual | EOY Forecast | EOY OSD Std |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |
| FY02 | 63.0 | 63.0 | 55.7 | 63.0 | | 33.3 | 46.0 | 44.7 |
| % | | | 88% | 100% | | 53% | 73% | 71% |

## Explanation:

- **None**

**(Complete if in receipt of CT Supplemental/DERF Funding)**

# Your Program Contractors & Government Field Activities

| Contractor | Location | Role |
|---|---|---|
| Prime contractors | | |
| Lockheed | Marietta, GA | Design, final assembly |
| Pratt and Whitney | West Palm Beach, FL | Engine manufacture |
| Major Subcontractors | | |
| Boeing | Seattle, WA | Wing |
| Northrup-Grumman | Baltimore, MD | Radar |
| Government Field Activities | | |
| SPO | Dayton, Ohio | AF Management |
| Depot | San Antonio, TX | Repair |
| Test | Edwards AFB, CA | Test |
| Universities | | |
| None | | |